



Sword & Shield Pharma Ltd.

CIN: L24231GJ1995PLC024809

Date: April 11, 2017

To,
BSE Limited,
Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai - 400 001.

Dear Sir/Ma'am,

Sub: Submission of Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

**Ref: Sword and Shield Pharma Limited
(Security Id / Scrip Code: SWORDNSH / 531637)**

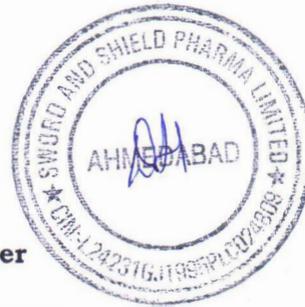
Pursuant to Regulation 40 (9) and 40 (10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby submit the Certificate from a Practicing Company Secretary for the period from October 1, 2016 to March 31, 2017.

You are requested to take the same on your record.

Thanking you

Yours faithfully,
For, **Sword and Shield Pharma Limited**


Darshir Hiranandani
Company Secretary & Compliance Officer



Registered office: 55, World Business House, Near Parimal Garden, Ambawadi, Ahmedabad - 380 006.

Website: www.swordnshieldpharma.com | **Email:** swordnshield1995@gmail.com

Phone: +91 98250 79759

CS Anand S. Lavingia
(ACS, LL.b (Spl.), B.Com)
Practicing Company Secretary

To,
The Board of Directors
SWORD AND SHIELD PHARMA LIMITED
55, World Business House,
Opp. Parimal Garden,
Ambawadi,
Ahmedabad - 380 006.

CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED MARCH 31, 2017.

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **Sword And Shield Pharma Limited** ("the Company") maintained by **MCS Share Transfer Agent Limited - Registrar and Share Transfer Agent** pertaining to transfer of equity shares of the company for the period from **October 1, 2016 to March 31, 2017** for the purpose of issuing a Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and pursuant to the uniform Listing Agreement entered into by, **Sword And Shield Pharma Limited** with the Stock Exchanges and based on the information provided by the Company, I hereby certify that the Company has, delivered during half year ended on **March 31, 2017**;

- A. According to regulation 40(3) read with 40(9), Share/~~Debenture~~-Certificate(s) relating to the transfer of Shares/~~Debentures~~ received during the period from **October 1, 2016 to March 31, 2017** as entered in the Memorandum of Transfers have been issued within statutory time period from the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds.
- B. No other information required to be given.

Place : Ahmedabad
Date : 11/04/2017

Signature : 
Name of Company Secretary in practice: Anand Lavingia
ACS No. : 26458
C P No. : 11410

