



**Sword & Shield Pharma Ltd.**

CIN: L24231GJ1995PLC024809

Date: October 2, 2017

To,  
**BSE Limited,**  
Phiroze Jeejeebhoy Towers,  
Dalal Street,  
Mumbai – 400 001.

Dear Sir/Ma'am,

**Sub: Submission of Compliance Certificate in pursuance of Regulation 7(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

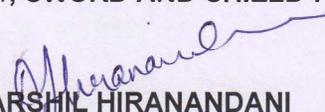
**Ref: Sword and Shield Pharma Limited  
(Security Id / Scrip Code: SWORDNSH / 531637)**

With reference to captioned subject, please find attached herewith the Compliance Certificate in pursuance of Regulation 7(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended on September 30, 2017.

Kindly take the same on your record and oblige us.

Thanking you

For, **SWORD AND SHIELD PHARMA LIMITED**

  
**DARSHIL HIRANANDANI**  
**COMPANY SECRETARY & COMPLIANCE OFFICER**  
**(ACS – 47986)**



**Registered office:** 55, World Business House, Near Parimal Garden, Ambawadi, Ahmedabad – 380 006.

**Website:** [www.swordnshieldpharma.com](http://www.swordnshieldpharma.com) | **Email:** [swordnshield1995@gmail.com](mailto:swordnshield1995@gmail.com)

**Phone:** +91 98250 79759



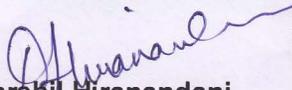
**COMPLIANCE CERTIFICATE**

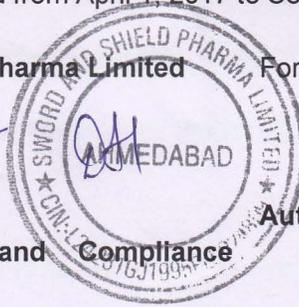
(in pursuance of Regulation 7(3) of  
SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

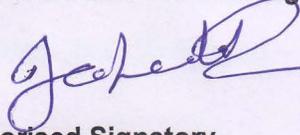
This is to certify in terms of sub-regulation (2) and (3) of Regulation 7 of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, that all activities of **SWORD AND SHIELD PHARMA LIMITED** (CIN: L24231GJ1995PLC024809) in relation to both physical and electronic share transfer facility are maintained by the Registrar and Share Transfer Agent registered with the Board viz. **MCS Share Transfer Agent Limited** (SEBI REGN.NO. INR000004108 - CIN - U67120WB2011PLC165872) having its Regional Office at: 201, Shatdal Complex, Opp. Bata Show Room, Ashram Road, Ahmedabad - 380 009.

Further, in compliance with the provision of Regulation 7(3) of the SEBI (LODR) Regulations, 2015, we hereby submit the certificate for the half year ended on September 30, 2017 i.e. for the period from April 1, 2017 to September 30, 2017.

For, Sword and Shield Pharma Limited For, MCS Share Transfer Agent Limited

  
Darshil Hiranandani  
Company Secretary and Compliance  
Officer  
(ACS - 47986)



  
Authorised Signatory



Date : October 2, 2017  
Place : Ahmedabad