



**Sword & Shield Pharma Ltd.**

**CIN: L24231GJ1995PLC024809**

**Security Code: 531637 Security ID: SWORDNSH**

16<sup>th</sup> October, 2018

To,  
The Listing Compliance Department,  
BSE Limited,  
Phiroze Jeejeebhoy Towers,  
Dalal Street,  
Mumbai – 400 001

**Ref: Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.**

**Subject: Submission of Certificate**

Dear Sir/ Madam,

Pursuant to Regulation 40 (9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby submit the Certificate from a Practicing Company Secretary for the period from April 1, 2018 to September 30, 2018.

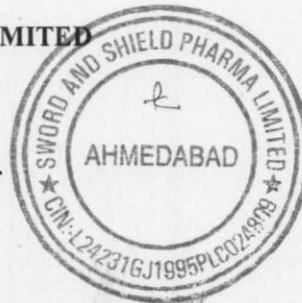
You are requested to take the same on record.

Thanking you,

Yours Faithfully,

For, **SWORD AND SHIELD PHARMA LIMITED**

**Pooja Kadam**  
**Company Secretary & Compliance Officer**  
**(ACS-43019)**



**Registered Office: 55, World Business House, Near Parimal Garden, Ambawadi, Ahmedabad – 380 006.**

**Website: [www.swordnshieldpharma.com](http://www.swordnshieldpharma.com) | Email: [swordnshield1995@gmail.com](mailto:swordnshield1995@gmail.com)**

**Phone: +91 98250 79759**

# CS Anand S. Lavingia

ACS, LL.b (Spl.), B. Com  
Practicing Company Secretary

To,  
The Board of Directors  
**SWORD AND SHIELD PHARMA LIMITED**  
55, World Business House, Opp. Parimal Garden,  
Ambawadi, Ahmedabad – 380 006.

**Subject: Certificate under Regulation 40 (9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended on September 30, 2018**

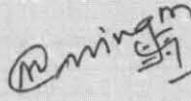
I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to Sword And Shield Pharma Limited ("the Company") maintained by MCS Share Transfer Agent Limited (Registrar and Share Transfer Agent) pertaining to transfer of equity shares of the company for the period from April 1, 2018 to September 30, 2018 for the purpose of issuing a Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and pursuant to the uniform Listing Agreement entered into by, Sword and Shield Pharma Limited with the Stock Exchanges and based on the information provided by the Company, I hereby certify that the Company has, delivered during half year ended on September 30, 2018;

- A) Share Certificate(s) relating to the Share Transfer form(s) received during the period from April 1, 2018 to September 30, 2018 as entered in the Memorandum of Transfers have been issued within one month from the respective date of lodgement of each form excepting those rejected on technical grounds. – *Not Applicable, since the Company has not received any transfer request for Shares.*
- B) Share Certificates in respect of requests for exchange of duplicate and split certificates have been issued within one month of lodgment. – *Not Applicable, since the Company has not received any such request for certificate.*

Place: Ahmedabad

Date: October 16, 2018

Signature:



Name of Company Secretary in Practice: Anand Lavingia

ACS No.: 26458

C P No.: 11410



Office No. 415-416, 4<sup>th</sup> Floor, Pushpam Complex, Opp. Seema Hall, Anand Nagar Road, Satellite,  
Ahmedabad – 380 015.

O/Fax: 079 - 4005 1702, M: (+91) 94270 49481