



Security Code: 531637 Security ID: SWORDNSH

08<sup>th</sup> April, 2019

To,  
The Listing Compliance Department,  
BSE Limited,  
Phiroze Jeejeebhoy Towers,  
Dalal Street,  
Mumbai – 400 001

**Ref:** Reg. 7 (3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

**Subject:** Submission of Compliance Certificate

Dear Sir/ Madam,

With reference to captioned subject, please find attached herewith the Compliance Certificate in pursuance of Regulation 7(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for half of the financial year ended on March 31, 2019.

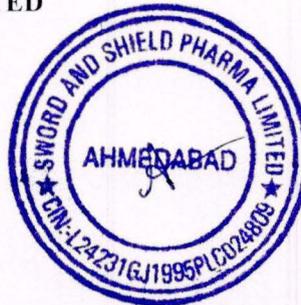
You are requested to take the same on record.

Thanking you,

Yours Faithfully,

For, **SWORD AND SHIELD PHARMA LIMITED**

Ankitaben Lunagariya  
Company Secretary & Compliance Officer  
(ACS-55112)





**COMPLIANCE CERTIFICATE CERTIFYING MAINTAINING PHYSICAL & ELECTRONIC TRANSFER FACILITY**

*(In pursuance of Regulation 7(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015)*

This is to certify in terms of sub-regulation (2) and (3) of Regulation 7 of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, that all activities of **SWORD AND SHIELD PHARMA LIMITED** (CIN: L24231GJ1995PLC024809) in relation to both physical and electronic share transfer facility are maintained by the Registrar and Share Transfer Agent registered with the Board viz. **MCS Share Transfer Agent Limited** (SEBI REGN.NO. INR000004108 - CIN - U67120WB2011PLC165872) having its Regional Office at: 201, Shatdal Complex, Opp. Bata Show Room, Ashram Road, Ahmedabad - 380 009.

For, Sword and Shield Pharma Limited

For, MCS Share Transfer Agent Limited

Ankitaben Lunagariya  
Company Secretary & Compliance Officer  
(ACS-55112)

Authorised Signatory



Date: 8<sup>th</sup> April, 2019

Place: Ahmedabad

