



**October 16, 2019**

**BSE Limited**

25<sup>th</sup> Floor, P J Towers,  
Dalal Street, Fort,  
Mumbai - 400 001  
Scrip Code: 531637

Dear Sir,

**Sub. : Certificate under Regulation 40(9) of Securities and Exchange Board of India  
(Listing Obligations and Disclosure Requirements) Regulations, 2015**

Pursuant to Regulation 40(9) and 40(10) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby submit the Certificate from a Practicing Company Secretary for the period from April 1, 2019 to September 30, 2019.

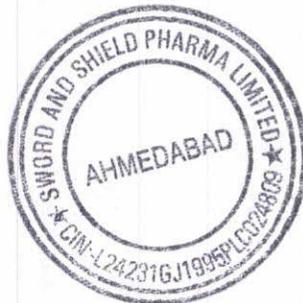
You are requested to take the same on your record.

Thanking You,

Yours Faithfully,

**For Sword and Shield Pharma Limited**

**Mukesh Chaudhary**  
Company Secretary &  
Compliance Officer



Encl. : Certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the period ended on September 30, 2019



# Anand Lavingia

(B.Com., LL.B (Spl.) ACS)

Practicing Company Secretary

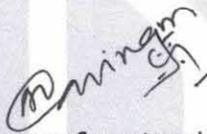
To,  
The Board of Directors  
SWORD AND SHIELD PHARMA LIMITED  
55, World Business House, Opp. Parimal Garden,  
Ambawadi, Ahmedabad - 380 006

**Subject: Certificate under Regulation 40 (9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended on September 30, 2019.**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to Sword And Shield Pharma Limited ("the Company") maintained by MCS Share Transfer Agent Limited (Registrar and Share Transfer Agent) pertaining to transfer of equity shares of the company for the period from April 01, 2019 to September 30, 2019 for the purpose of issuing a Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and pursuant to the uniform Listing Agreement entered into by, Sword and Shield Pharma Limited with the Stock Exchanges and based on the information provided by the Company, I hereby certify that the Company has, delivered during half year ended on September 30, 2019;

- A) Share Certificate(s) relating to the Share Transfer form(s) received during the period from April 01, 2019 to September 30, 2019 as entered in the Memorandum of Transfers have been issued within one month from the respective date of lodgement of each form excepting those rejected on technical grounds. - *Not Applicable, since the Company has not received any transfer request for Shares.*
- B) Share Certificates in respect of requests for exchange of duplicate and split certificates have been issued within one month of lodgment. - *Not Applicable, since the Company has not received any such request for certificate.*

Place: Ahmedabad  
Date: October 16, 2019

Signature:   
Name of Company Secretary in Practice: Anand Lavingia  
ACS No.: 26458 C P No.: 11410  
UDIN: A026458A000094231

